1	The Effects of Various Estrogen Doses on the Proliferation and Differentiation of
2	Cultured Neural Stem Cells
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18 Abstract

The brain has long been known as a dimorphic organ and as a target of estrogen. One of 19 the most important brain functions, neurogenesis, which mainly represents the proliferation 20 and differentiation of neural stem cells (NSCs), was found to be stimulated and regulated by 21 estrogen. However, the dose and timing of estrogen treatment is controversial, and the 22 underlying mechanism remains unclear. In this study, we tested the effects of various estrogen 23 doses on the proliferation and differentiation of NSCs derived from Sprague-Dawley rat 24 embryos. First, we identified that the estrogen receptors (ERs) ER α , ER β and GPR30 were 25 highly expressed in NSCs. The results from cell cycle analysis detected by flow cytometry 26 revealed that 10 nM 17\beta-estradiol (E2) treatment for 3 days significantly increased the 27 proliferation of NSCs and the expression level of p-ERK1/2 but that 50 nM E2 exposure 28 markedly decreased the proliferation of NSCs and the expression level of p-ERK1/2. 29 According to immunofluorescence staining and Western blot analyses, 10 nM E2 treatment 30 for 7 days stimulated NSCs to differentiate into neurons and inhibited their differentiation 31 32 into astrocytes. These results demonstrate that NSCs are a target of estrogens and that an appropriate dose of E2 (10 nM) can significantly increase the proliferation of NSCs and 33 stimulate these cells to differentiate into neurons, which contributes to knowledge regarding 34 35 the regulatory effects of estrogens on neurogenesis.

Key words: estrogen; neurogenesis; neural stem cells (NSCs); proliferation; differentiation.
Abbreviations: E2, 17β-estradiol; NSCs, neural stem cells; Tuj1, neuronal class III β-tubulin;
GFAP, glial fibrillary acid protein; CNPase, 20,30-cyclic nucleotide 30-phosphodiesterase;
BrdU, bromodeoxyuridine; ER, estrogen receptor; PLA, People's Liberation Army. ERK,

40 extracellular signal-regulated kinase; DAPI, diamidino phenylindole; MSNs, medium spiny
41 neurons.

42 Introduction

For many years, estrogens were thought of only as "sex hormones" that function in the reproductive system of animals. However, there is ample empirical evidence to support the notion that the biological impact of estrogens extends beyond the gonads to other organs, including the brain, and behavior (Rettberg et al. 2014). Sex-specific shifts in endogenous estrogen levels related to menstrual cycle, pregnancy, and menopause are also associated with differences in multiple brain functions (Workman et al. 2012).

Neurogenesis in the adult brain, which can be stimulated by physiological factors, such 49 as growth factors and environmental cues, and by pathological processes, including stroke 50 51 and neurodegeneration (Shao et al. 2012), is considered to be an important pathway for neuroprotection and neurological recovery. Neurogenesis continues throughout life in specific 52 regions of the mammalian brain, including the dentate gyrus (DG) of the hippocampus. These 53 54 newborn cells can migrate into damaged brain regions and differentiate into neural cells to alleviate neural injury (Jin et al. 2003). Therefore, neural stem cells (NSCs) have become the 55 target for neuroprotection. NSCs have unique properties, including pluripotency, and hold 56 57 promise for neurodevelopmental biology, regenerative medicine and drug discovery.

Nevertheless, the factors governing the fates of NSCs are still poorly understood.
Estrogens exhibit neuroprotective effects by promoting neurogenesis (Bourque et al. 2009;
Pike et al. 2009; Li et al. 2011). Thus, estrogens may play a profound role in the modulation
of NSCs, and NSC transplantation combined with estrogen modulation may be a therapeutic

approach for neurodegenerative diseases. However, the underlying mechanisms remain
 unclear.

64 The most important characteristics of NSCs are proliferation and controlled 65 differentiation. Therefore, in this study, we investigated the effects of different estrogen doses 66 on the proliferation and differentiation of NSCs.

67 Materials and Methods

The experimental protocol was approved by the Ethics Committee for Animal Experimentation of Chinese People's Liberation Army (PLA) General Hospital (Beijing, China) and performed in accordance with the guidelines for Animal Experimentation of Chinese PLA General Hospital.

72 Isolation and culture of NSCs

NSCs were harvested from the brains of E14.5-E16.5 Sprague-Dawley rat embryos 73 (from pregnant dames purchased from the Experimental Animal Center of the 74 Chinese PLA General Hospital) as previously described (Nie et al. 2013). Briefly, 75 hippocampi were isolated in ice-cold dissection buffer (HBSS, Gibco, USA) under a 76 stereomicroscope. After the meninges were removed, single-cell suspensions were obtained 77 by mechanical dissociation. Cells were washed, briefly centrifuged, resuspended in fresh 78 medium, and cultured at 5×10^5 cells/ml in 25-cm² cell culture flasks (Corning, USA) in 79 serum-free Dulbecco's modified Eagle's medium (DMEM)/F12 medium (1:1 mixture of 80 DMEM and Ham's F12, Gibco, USA) supplemented with 20 ng/ml basic fibroblast growth 81 factor (bFGF, Peprotech, USA), 20 ng/ml epidermal growth factor (EGF, Peprotech, USA), 82 2% B-27 and 1% N-2 supplements (Gibco, USA), 1% penicillin and 1% streptomycin. The 83

resulting neurospheres were harvested and mechanically dissociated to produce single-cell
suspensions for replating every 6-7 days.

To verify the identity of NSCs, we dissociated neurospheres and plated them onto poly-L-lysine-coated glass coverslips. After a 24 h attachment period, the cells were fixed in 4% paraformaldehyde for 45 min and processed for nestin immunostaining. The slides and coverslips were rinsed in phosphate-buffered saline (PBS) and then incubated with a mouse anti-nestin antibody (1:500, Abcam, USA) overnight at 4 °C. Immunolabeling was visualized with anti-mouse Alexa Fluor 594-conjugated secondary antibody (1:500, Invitrogen, USA). To estimate the rate of proliferation, we plated neurospheres in serum-free medium with

bFGF. To induce differentiation, we changed the medium to DMEM/F12 containing 4% fetal
bovine serum (Sigma-Aldrich, USA) without bFGF.

95 Estrogen exposure

Neurospheres were exposed to 17β -estradiol (E2, Cayman, USA) at different physiological concentrations (Con, 0 nM, 1 nM, 10 nM, 20 nM and 50 nM) and durations (3 days for cell cycle analyses and 7 days for differentiation analyses). Dimethyl sulfoxide (DMSO) alone was used as the vehicle control (0 nM). E2 was dissolved in DMSO in 10 mM stock solutions. Further dilutions were prepared using culture medium. The final concentration of DMSO in the culture medium never exceeded 0.02%, a level that has no effect by itself.

103 Cell cycle analysis

104 Cell cycle distribution was assessed by flow cytometry as previously described 105 (Majewski et al. 2011). After 3 days of E2 exposure, cells were collected by trypsinization and centrifuged twice in PBS. The cells were then fixed in precooled 70% ethanol at -20 °C and stained with propidium iodide (PI) solution. DNA content was determined by flow cytometry using CellQuest Software. A total of 10,000 events were counted for each sample (FACSCalibur, Becton-Dickinson, USA). The percentage of cells in a particular cell cycle phase was calculated with ModFit software (Becton-Dickinson). The growth rate was calculated as the percentage of cells in S + G2 phase.

112 Immunofluorescence staining and cell differentiation assays

Hippocampal NSCs were phenotyped 7 days after E2 exposure according to their protein 113 marker expression. Cells were seeded onto poly-D-lysine-coated coverslips at 3×10^4 114 cells/well in 24-well plates in DMEM/F12 medium containing 4% fetal bovine serum. The 115 cells were exposed to E2 as described. Twenty-four hours after replating or seven days after 116 E2 exposure, the cells were fixed in 4% paraformaldehyde for 45 min, washed 3 times with 117 PBS, and incubated for 30 min at room temperature in a blocking solution composed of PBS 118 plus 1% bovine serum albumin (BSA) and 0.3% Triton X-100. Cells were then incubated at 119 120 4 °C overnight in rabbit anti-ERα (1:100, Abcam, UK), rabbit anti-ERβ (1:100, Abcam, UK), rabbit anti-GPR30 (1:100, Abcam, UK), mouse anti-neuronal class III β-tubulin (Tuj1, 1:500, 121 Sigma-Aldrich, USA), rabbit anti-glial fibrillary acid protein (GFAP, 1:500, Millipore, USA), 122 123 or mouse anti-20,30-cyclic nucleotide 30-phosphodiesterase (CNPase, 1:200, Abcam, UK) antibodies diluted in the blocking solution. The cells were then incubated with secondary 124 antibodies (1:500 Alexa Fluor 488 or Alexa Fluor 594, Invitrogen, USA) for 2 h at room 125 temperature. Finally, the cells were incubated with diamidino phenylindole (DAPI, $1 \mu g/ml$) 126 for 10 min at room temperature to stain the nuclei. 127

All stained cultures were analyzed under an FV-1000 laser scanning confocal microscope (Olympus, USA). Cell counting was performed using Image-Pro Plus version 6.0 software (Media Cybernetics, USA) by a researcher blinded to the experimental conditions. Cells were counted in 6-10 randomly chosen visual fields under a 10× or 20× objective. The percentage of positive cells is expressed as a fraction of the total number of DAPI-stained cells. At least 3 independent experiments were performed for each assay.

134 Western blotting

Cell samples were harvested from culture plates at 24 h after replating or 3 and 7 days 135 after E2 exposure. The samples were lysed in sample buffer composed of 62.5 mM Tris-HCl, 136 2% w/v SDS, 10% glycerol, 50 mM dithiothreitol (DTT), and 0.1% w/v bromophenol blue. 137 Insoluble materials were separated by centrifugation at 12,000×g for 10 min, and the 138 supernatant was heated to 100 °C for 10 min and then cooled on ice for 30 min. 139 Electrophoresis was conducted by SDS-PAGE using 10% polyacrylamide gels in accordance 140 with routine protocols. Separated proteins were transferred onto nitrocellulose membranes, 141 142 and the membranes were blocked (with gentle shaking) for 1 h at room temperature in a solution containing 5% nonfat milk powder and 0.1% Tween-20 in Tris-buffered saline 143 (TBST). After washing 3 times in TBST, the membranes were incubated overnight at 4 °C in 144 blocking solution plus rabbit anti-ERα (1:500, Abcam, UK), rabbit anti-ERβ (1:500, Abcam, 145 UK), rabbit anti-GPR30 (1:500, Abcam, UK), rabbit anti-phosphorylated extracellular 146 signal-regulated kinases (p-ERK1/2, 1:2500, Cell Signaling, USA), rabbit anti-ERK1/2 147 (1:2500, Cell Signaling, USA), rabbit anti-Tuj1 (1:1000, Sigma-Aldrich, USA), or rabbit 148 anti-GFAP (1:1000, Millipore, USA) antibodies. Mouse anti-β-actin (1:1000, Sigma-Aldrich, 149

USA) and rabbit anti-GAPDH antibodies (1:1000, Sigma-Aldrich, USA) were used to identify β -actin and GAPDH, respectively, as loading controls. All membranes were then washed 3 times in PBS and incubated with peroxidase-conjugated goat anti-rabbit IgG or anti-mouse IgG in TBST for 1 h. Immunoreactive bands were detected using enhanced chemiluminescence (ECL, Amersham, UK) and quantified with Bio-Rad Quantity One software (Hercules, CA, USA).

156 Statistical analysis

The results are presented as means \pm S.D. Comparisons among multiple groups were performed using one-way ANOVA followed by Dunnett's post hoc test. GraphPad Prism 7.0 was used for statistical analyses. A p-value < 0.05 was considered statistically significant. Data were collected by two independent investigators who were blinded to the drug treatments.

162 **Results**

163 **1. Identification of cultured NSCs**

Using our isolation and culture methods, most cells (>98%) plated on poly-D-lysine-coated coverslips were immunopositive for the stem cell marker nestin 24 h after plating, confirming that the vast majority of cells used for subsequent assays were indeed NSCs (**Figure 1A**).

168 2. Estrogen receptors (ERs) are highly expressed in NSCs

169 As shown in **Figure 1A**, the results from immunofluorescence staining reveal that $ER\alpha$, $ER\beta$

and GPR30 are strongly expressed in the identified NSCs. Western blot analysis showed that

171 ER α , ER β and GPR30 are highly expressed in the identified NSCs (Figure 1B).

172 **3. Effects of various E2 doses on NSC proliferation**

As shown in **Figure 2**, flow cytometric analysis indicated that the percentage of cells in S + G2 phase in the control, 0 nM, 1 nM and 20 nM groups were $26.4\% \pm 2.7\%$, $27.7\% \pm 2.0\%$, $28.1\% \pm 2.5\%$ and $29.1\% \pm 2.6\%$, respectively. No significant differences were observed among these groups. Treatment with 10 nM E2 resulted in a significant increase in the percentage of cells in S+G2 phase (to $38.7\% \pm 3.5\%$, **p=0.0012 vs. the 0 nM group). Conversely, treatment with 50 nM E2 induced a marked decrease in the percentage of cells in S+G2 phase ($21.4\% \pm 2.6\%$, *p=0.0487 vs. the 0 nM group).

180 4. Effects of various E2 doses on p-ERK1/2 expression in NSCs

Next, we investigated the effects of various E2 doses on the ERK signaling cascade, which regulates proliferation. As shown in **Figure 3** (Western blotting results), 10 nM E2 treatment induced a significant increase in the level of p-ERK1/2 (*p=0.0174 vs. the 0 nM group), whereas treatment with 50 nM E2 markedly decreased the levels of p-ERK1/2 (*p=0.048 vs. the 0 nM group).

186 **5. Effects of various E2 doses on NSC differentiation**

To investigate whether E2 treatment induced the differentiation of cultured NSCs, we examined cell phenotypes 7 days after E2 treatment using the neuronal marker Tuj1, the astrocytic marker GFAP, and the oligodendrocytic marker CNPase. As shown in **Figure 4**, immunofluorescence assays revealed that compared to 0 nM E2, 1 nM, 20 nM and 50 nM E2 did not affect the percentage of Tuj1-positive or GFAP-positive cells in culture. However, 10 nM E2 treatment significantly increased the percentage of Tuj1-positive cells (Figure 4B, ***p= 0.0001 vs. the 0 nM group) and decreased the percentage of GFAP-positive cells 194 (Figure 4C, *p= 0.0484 vs. the 0 nM group) in culture. E2 treatment did not affect the 195 percentage of oligodendrocytes in culture (data not shown).

The results from the Western blotting analyses supported the immunofluorescence assay results. As shown in **Figure 5**, compared to 0 nM E2, 1 nM, 20 nM and 50 nM E2 did not affect the protein expression of Tuj1 or GFAP in culture. However, 10 nM E2 treatment significantly increased the protein expression of Tuj1 (Figure 5A, *p= 0.0111 vs. the 0 nM group) and decreased the protein expression of GFAP in culture (Figure 5B, *p= 0.0415 vs. the 0 nM group). E2 treatment did not affect the protein expression of CNPase in culture (data not shown).

203 Discussion

It has been widely recognized that estrogens exhibit greater functionality (e.g., in the 204 brain) than only the regulation of reproduction. Neurogenesis is an important brain function 205 that exerts significant neuroprotective effects against several neurodegenerative diseases and 206 is stimulated and regulated by estrogens (Bourque et al. 2009; Pike et al. 2009; Li et al. 2011). 207 208 Tanapat et al. (1999) found that after injection with bromodeoxyuridine (BrdU), female mice had significantly more newly generated cells in the DG than did males. Additionally, within 209 the estrous cycle, the number of newly generated cells in the DG was 50% higher in female 210 211 mice during proestrus (high estradiol levels) than during estrus or diestrus (low estradiol levels). Furthermore, removal of the ovary diminished the number of newborn cells, and this 212 effect was reversed by estrogen replacement (Tanapat et al. 1999). However, some 213 researchers have reported opposite results. In another study, acute treatment with a moderate 214 (not low or high) dose of estrogens rapidly increased newborn cell proliferation in 215

ovariectomized animals, and chronic estrogen treatment for 3 weeks did not stimulate 216 neurogenesis (Tanapat et al. 2005). In male rats, repeated estrogen administration did not 217 significantly affect neurogenesis (Barker and Galea 2008). In another recent study, short-term 218 treatment with estrogens decreased the rate of newly generated cells in the subventricular 219 zone (SVZ) and olfactory bulb (OB) of adult female ovariectomized mice (Brock et al. 2010). 220 These contrasting results indicate that the in vivo effects of estrogens on neurogenesis are 221 complex. Several factors, such as the dose and timing of estrogen treatment, most likely 222 influence the outcome. 223

Thus, many researchers have explored the effects and underlying mechanisms of action 224 of estrogens on neurogenesis using NSCs. As estrogens exert their function by binding to 225 ER α and ER β and the newly identified GPR30 receptor, we first detected whether our 226 cultured NSCs express these ERs. The immunofluorescence staining and Western blotting 227 results revealed ER α , ER β and GPR30 to be highly expressed in cultured NSCs. A previous 228 study demonstrated that NSCs express both ER α and ER β (Brannvall et al. 2002). These 229 230 results identify NSCs as a target for estrogens. Interestingly, we first found that the newly identified ER GPR30 was highly expressed in cultured NSCs. The GPR30 receptor is 231 reported to be a novel ER uniquely localized in the endoplasmic reticulum (Funakoshi et al. 232 233 2006), and this receptor mediates rapid nongenomic effects of estrogen. This receptor is widely distributed and has numerous physiologic or pathologic functions in differentiated and 234 mature brain cells (Chu et al. 2009; Grassi et al. 2009). However, the role of GPR30 in NSCs 235 has not yet been explored and warrants further study. Furthermore, different neuronal cell 236 types express different levels of ER. A recent study using quantitative PCR in primary 237

cultured neurons and astrocytes found no difference between neurons and astrocytes in ER β expression, though neurons did express higher levels of ER α (Piechota et al. 2017), which may be the basis of the various differentiation effects of estrogens on NSCs.

Next, we explored the role of different doses of E2 on the proliferation and 241 differentiation of NSCs. The results from cell cycle analysis (flow cytometry) showed that 10 242 nM E2 treatment for 3 days significantly increased the proliferation of NSCs but that 50 nM 243 E2 markedly decreased the proliferation of NSCs. Brannvall et al. (2002) found that 10 nM 244 E2 significantly increased the number of BrdU-labeled cells among NSCs by 7%, and this 245 effect was inhibited by an ER antagonist. In another study, 10 nM E2 increased the number of 246 generated neurons (over 50%) in mouse embryonic stem (ES) cells, with increased neurite 247 branching (Murashov et al. 2004). To elucidate the underlying mechanism, we examined the 248 effects of estrogens on phosphorylation- mediated activation of ERK1/2 (p-ERK1/2). The 249 ERK signaling cascade regulates the proliferation (Xiao et al. 2007) of NSCs. In our study, 10 250 nM E2 treatment significantly increased p-ERK1/2 expression, whereas treatment with 50 251 252 nM E2 markedly decreased p-ERK1/2 expression. Thus, the effects of estrogens on NSC proliferation may be mediated by the regulation of p-ERK1/2 expression. 253

NSCs from embryonic and adult brains can undergo differentiation into three major types of brain cells: neurons, astrocytes, and oligodendrocytes. The differentiation of NSCs into new neurons, which can be used in basic and translational studies for the treatment of neurodegenerative diseases, is particularly promising. However, control over their differentiation is still a critical obstacle. In this work, using immunofluorescence staining and Western blot analyses, we observed that 10 nM E2 significantly stimulated the differentiation

of NSCs into neurons and inhibited the differentiation of NSCs into astrocytes. The ratio of 260 differentiated oligodendrocytes remained unchanged. In a previous study, 10 nM E2 261 increased the ratio of Tuj1-positive neurons to GFAP-positive glial cells in embryonic rat 262 NSCs (determined by immunostaining), and this result demonstrated the influence of 263 estrogens on neurogenesis during embryonic development (Brannvall et al. 2002). Icaritin, 264 which is an ER modulator with neuroprotective effects (Wang et al. 2007), also facilitated the 265 differentiation of mouse ES cells into the neuroectoderm and increased the proportion of 266 Tuj1-positive cells (the number of GFAP-positive cells remained unchanged) (Wang et al. 267 2009). Altogether, these results suggest that estrogens affect the ratio of differentiated 268 neurons from NSCs. 269

Currently, human NSC-replacement therapy has significant potential for treating 270 neurodegenerative diseases. Human NSCs can give rise to neurons and glial cells in vitro and 271 survive to differentiate into neurons in the rat brain (Fricker et al. 1999). E2 increased the 272 number of dopaminergic (DA) neurons derived from human NSCs in vivo when these cells 273 274 were grafted into mouse brains; this result also supports the role of estrogens during the transplantation of human NSCs for Parkinson's disease (Kishi et al. 2005). Additionally, 275 recent studies have found that neuropeptides, including oxytocin, vasopressin, neuropeptide 276 Y (NPY), and ghrelin, participate in the regulation of neurogenesis and differentiation (Bakos 277 et al. 2016). Regardless, the role of these neuropeptides in estrogen-mediated neurogenesis 278 remains unclear. 279

280 The electrophysiological properties of the medium spiny neurons (MSNs) have also 281 been found to be sensitive to estradiol in a striatal region-specific manner: in both caudate-putamen and nucleus accumbens core, MSNs receive augmented excitatory synaptic input in females compared with that in males, and early-life exposure to estradiol is instrumental in the sexual differentiation of this property. These findings extend earlier mosaic models of brain sexuality, in which not only individual brain regions but also individual neuron types, in this instance MSNs, show differential degrees of feminization (Cao et al. 2018).

In conclusion, our study demonstrates that NSCs are definitely a target for estrogens and that an appropriate dose of E2 (10 nM) can significantly increase the proliferation of NSCs and significantly stimulate NSCs to differentiate into neurons. These findings supplement our knowledge of the regulatory effects of estrogens on neurogenesis.

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293 Competing financial interests

294 The authors declare no competing financial interests.

295 Acknowledgments

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- 379 Figure Legends
- **Figure 1. Immunofluorescence staining and Western blotting for ERs in cultured NSCs.**
- 381 (A) Immunofluorescence images of NSCs probed with an anti-nestin antibody (red),
- anti-ER α antibody (red), anti-ER β antibody (red), anti-GPR30 antibody (red) and then
- 383 counterstained with DAPI (blue) as a nuclear marker. Bar: 10 μm .
- (B) Western blotting revealed that the ERs ER α , ER β and GPR30 are all highly expressed in cultured NSCs.
- **Figure 2.** The effects of E2 treatment on the proliferation of NSCs, as assessed by flow
- 387 cytometry.
- 388 (A) The first red peak, the arc-shaped hatched portion in the middle, and the second red peak
- represent the G1, S, and G2 phases of the cell cycle, respectively.
- (B) Data are expressed as the mean \pm S.D. One-way ANOVA: F=13.64, p=0.0001; *p=0.0487,
- 391 **p = 0.0012 vs. the 0 nM group.
- 392 Figure 3. The effects of E2 treatment on p-ERK expression.
- 393 (A) Data are shown as the mean \pm S.D. One-way ANOVA: F=8.743, p=0.0011; *p=0.0174,
- 10 nM group vs. the 0 nM group; *p =0.048 50 nM group vs. the 0 nM group.
- (B) Representative photographs showing the expression levels of p-ERK and t-ERK proteinsamong various groups.
- Figure 4. The effects of E2 treatment on the proliferation of NSCs, as assessed by
 immunofluorescence.
- 399 (A) Immunofluorescence images of NSCs stained for the neuronal marker Tuj1 (red) and the
- 400 astrocytic marker GFAP (green) 7 days after E2 treatment. Bar: 20 µm.

401	(B) Data are expressed as the mean ± S.D. One-way ANOVA: F=10.66, p<0.0001;
402	***p=0.0001, vs. the 0 nM group.
403	(C) Data are expressed as the mean \pm S.D. One-way ANOVA: F=3.141, p=0.0285;
404	**p=0.0484, vs. the 0 nM group.
405	Figure 5. The effects of E2 treatment on the proliferation of NSCs, as assessed by
406	Western blot analysis.
407	(A) Representative images showing the expression of Tuj1 protein among various groups.
408	Data are shown as the mean ± S.D. One-way ANOVA: F=4.642, p=0.0137; *p=0.0111, vs.
409	the 0 nM group.
410	(B) Representative images showing the expression of the GFAP protein in various groups.
411	Data are shown as the mean \pm S.D. One-way ANOVA: F=3.13, p=0.0489; *p=0.0415, vs. the
412	0 nM group.
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Fig. 4 Download full resolution image



